

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/755,701	01/05/2001	Allan S. Hoffman	UWS 105

CONFIRMATION NO. 3998

## FORMALITIES LETTER



\*OC000000006176148\*

23579  
ARNALL GOLDEN & GREGORY, LLP  
2800 ONE ATLANTIC CENTER  
1201 WEST PEACHTREE STREET  
ATLANTA, GA 30309-3450

Date Mailed: 06/13/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

*S.A.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



GAL 1635

TECH CENTER 1600/2900

AUG 23 2001

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Please type a plus sign (+) inside this box → ☐Approved for use through 09/30/2000. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/755,701	
	Filing Date	January 5, 2001	
	First Named Inventor	Allan S. Hoffman	
	Group Art Unit	1635	
	Examiner Name	Not yet assigned	
Total Number of Pages in This Submission	10	Attorney Docket Number	UWS 105

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Preliminary Amendment; marked-up version of amended pages of specification; clean copy of amended pages of specification; copy of formal
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	drawings filed August 13, 2001
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Rivka Monheit; Reg. No. P-48,731 Holland & Knight LLP Suite 2000, One Atlantic Center; 1201 West Peachtree Street, N.E.; Atlanta, GA 30309-3400
Signature	<i>Rivka Monheit</i>
Date	August 13, 2001

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: August 13, 2001	
Typed or printed name	Aisha Wyatt
Signature	<i>Aisha Wyatt</i>
Date	August 13, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

OTT 2219-3241-3652PT UWS 105 078244/00012



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Allan S. Hoffman, Patrick S. Stayton, and Niren Murthy

Serial No.: 09/755,701

Group Art Unit: 1635

Filed: January 5, 2001

Examiner: Not yet assigned

For: ENHANCED TRANSPORT USING MEMBRANE DISRUPTIVE AGENTS

**BOX MISSING PARTS**

Commissioner for Patents  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF NONPROVISIONAL APPLICATION  
AND TRANSMITTAL OF FORMAL DRAWINGS**

RECEIVED  
SEP 04 2001  
TC 1700

Sir:

Responsive to the Notice to File Missing Parts of Application, mailed June 13, 2001,  
applicants enclose copies of the following filed April 26, 2001, in the above-identified  
application:

1. Transmittal of Declaration for Patent Application, Power of Attorney or  
Authorization of Agent, and Statement Under 37 C.F.R. § 3.73(b) with Certificate of Mailing  
Under 37 C.F.R. § 1.8(a);
2. Declaration for Patent Application executed by Allan S. Hoffman;
3. Declaration for Patent Application executed by Patrick S. Stayton;
4. Declaration for Patent Application executed by Niren Murthy;
5. Power of Attorney or Authorization of Agent executed by the University of  
Washington;

6. Statement Under 37 C.F.R. § 3.73(b) executed by the University of Washington, with attached copy of the executed Assignment from Allan S. Hoffman, Patrick S. Stayton, and Niren Murthy to the University of Washington;

7. Check in the amount of \$65.00 for the small entity surcharge for late filing the Declaration for Patent Application; and

8. Returned postcard indicating receipt by the Patent Office of the documents in Nos. 1-7 on May 1, 2001.

Applicants also enclose a (a) Power of Attorney by Assignee of Entire Interest and Revocation of Prior Powers executed by the University of Washington; and (b) Statement Under 37 C.F.R. § 3.73(b) executed by the University of Washington.

#### **Transmittal of Formal Drawings**

Applicants enclose seven (7) sheets of formal drawings in compliance with the margin requirements of 37 C.F.R. § 1.84 pursuant to the request for substitute drawings in the Notice to File Missing Parts of Nonprovisional Application, mailed June 13, 2001.

Applicants also enclose a copy of the Notice to File Missing Parts of Nonprovisional Application, mailed June 13, 2001.

U.S.S.N: 09/755,701  
Filed: January 5, 2001  
Response to Notice to File Missing  
Parts of Nonprovisional Application  
and Transmittal of Formal Drawings

**Please send all correspondence relating to the above-identified patent application to:**

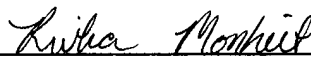
**Patrea L. Pabst  
HOLLAND & KNIGHT LLP  
Suite 2000, One Atlantic Center  
1201 West Peachtree Street, N.E.  
Atlanta, Georgia 30309-3400**

**(404) 817-8473 (Telephone)  
(404) 817-8588 (Fax)**

This application is entitled to claim small entity status pursuant to 37 C.F.R. § 1.27.

No fees are due at this time. Applicants paid the small entity surcharge for late filing the Declaration for Patent Application on April 26, 2001. However, should any fee be due, please charge such fee, or credit any overpayment in connection with this matter, to Deposit Account No. 50-1868. A duplicate of this transmittal is enclosed to facilitate this process.

Respectfully submitted,

  
\_\_\_\_\_  
Rivka Monheit  
Reg. No. P-48,731

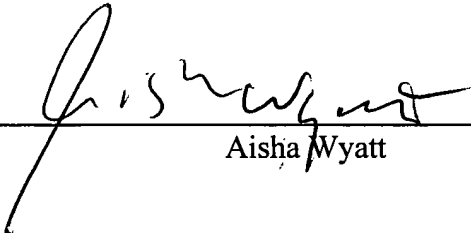
Date: August 13, 2001

HOLLAND & KNIGHT LLP  
Suite 2000, One Atlantic Center  
1201 West Peachtree Street, N.E.  
Atlanta, Georgia 30309-3400  
(404) 817-8514 (Telephone)  
(404) 817-8588 (Fax)

U.S.S.N: 09/755,701  
Filed: January 5, 2001  
Response to Notice to File Missing  
Parts of Nonprovisional Application  
and Transmittal of Formal Drawings

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)**

I hereby certify that this RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION AND TRANSMITTAL OF FORMAL DRAWINGS, and any paper referred to as being attached or enclosed, are being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231.

  
Aisha Wyatt

Date: August 13, 2001

ATL1 #477465 v1

**Arnall Golden Gregory LLP**  
 PATENT OPERATING ACCOUNT  
 2800 ONE ATLANTIC CENTER - ATLANTA, GA 30309-3450

Surf Bank, Atlanta  
 Atlanta, Georgia

Check No.  
 13155

VOID AFTER 90 DAYS

Date: 03/26/01

Check Amount  
 \$65.00

Pay: Sixty-five and 00/100 Dollars\*\*\*\*\*

To The Order Of: US Commissioner of Patents & Trademarks (01-2507)

01-2507  
 Box 16  
 Washington, DC 20231

TWO SIGNATURES REQUIRED  
 ON AMOUNTS OVER \$1000

⑈ 13155 ⑈ ⑆061000104⑆ 8801959191⑈

Vendor-Assigned Cust. No. 01-2507

Check #: 13155

Entry Date	Disb ID	Disbursement Description	Client Code	Matter Code	Amount
03/26/01	1208378	Filing fees	21006	19	\$65.00
UWS 105 - For filing fees paid to US Patent and Trademark Office for Declaration of Inventorship					
Disbursements Total:					\$65.00



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Allan S. Hoffman, Patrick S. Stayton, and Niren Murthy

Serial No.: 09/755,701

Group Art Unit: Not yet assigned

Filed: January 5, 2001

Examiner: Not yet assigned

For: ENHANCED TRANSPORT USING MEMBRANE DISRUPTIVE AGENTS

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**TRANSMITTAL OF DECLARATION FOR PATENT APPLICATION,  
POWER OF ATTORNEY OR AUTHORIZATION OF AGENT,  
AND STATEMENT UNDER 37 C.F.R. § 3.73(b)**

Sir:

Applicants enclose the following for filing in the above-identified application:

1. Declaration for Patent Application executed by Allan S. Hoffman;
2. Declaration for Patent Application executed by Patrick S. Stayton;
3. Declaration for Patent Application executed by Niren Murthy;
4. Power of Attorney or Authorization of Agent executed by University of Washington;
5. Statement Under 37 C.F.R. § 3.73(b) executed by University of Washington, with

attached copy of the executed Assignment from Allan S. Hoffman, Patrick S. Stayton, and Niren Murthy to University of Washington; and

U.S.S.N.: 09/755,701  
Filed: January 5, 2001  
TRANSMITTAL OF DECLARATION FOR  
PATENT APPLICATION, POWER OF ATTORNEY  
OR AUTHORIZATION OF AGENT, AND  
STATEMENT UNDER 37 C.F.R. § 3.73(b)

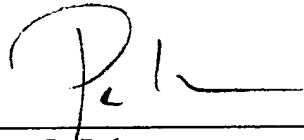
6. Check in the amount of \$65.00 for the small entity surcharge for late filing the Declaration for Patent Application.

This application is entitled to claim small entity status pursuant to 37 C.F.R. § 1.27.

Applicants have not yet received the Notice to File Missing Parts of Nonprovisional Application or the official Filing Receipt.

Please charge any other fees due, or credit any overpayment, in connection with this matter to Deposit Account No. 01-2507. A duplicate of this transmittal is enclosed to facilitate this process.

Respectfully submitted,



---

Patrea L. Pabst  
Reg. No. 31,284

Date: April 26, 2001

ARNALL GOLDEN & GREGORY, LLP  
2800 One Atlantic Center  
1201 West Peachtree Street  
Atlanta, Georgia 30309-3450  
404-873-8794  
404-873-8795 (fax)

U.S.S.N.: 09/755,701  
Filed: January 5, 2001  
TRANSMITTAL OF DECLARATION FOR  
PATENT APPLICATION, POWER OF ATTORNEY  
OR AUTHORIZATION OF AGENT, AND  
STATEMENT UNDER 37 C.F.R. § 3.73(b)



CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this Transmittal of Declaration for Patent Application, Power of Attorney or Authorization of Agent, and Statement Under 37 C.F.R. § 3.73(b), together with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231.

*Lelia M. Phillips*

Date: April 26, 2001